cplp

COHEN PONTANI LIEBERMAN & PAVANE

JPE

551 Fifth Avenue, Now York, NY 10176 phone 212.687.2770 fax 212.972.5487 www.cplplaw.com

| | Sedley Pyne Assignment Division | From: | Daniel Alper | |
|------|---------------------------------|--------|------------------------------|--|
| Fax: | 703-308-7124 | Pages: | 3 (total number of pages) | |
| Re: | | Date: | Thursday, September 23, 2004 | |
| | ☐ Confirmation will follow | □ No c | onfirmation to follow | |

RE:

U.S. Patent Application Serial No. 10/789,199

Title: Electrical contact for optoelectronic semiconductor chip and method for its production

Our File No. 5367-83

Please correct the filing receipt as indicated on the marked up original. Applicant Wilhelm Stein resides in **DONAUSTAUF**, not **LIUDAU** as indicated. Thank you.

Confidentiality Statement

This transmission may contain information which is legally privileged or otherwise protected from disclosure. Its contents are confidential and intended for the addressee only, and must not be used, copied or disseminated by any person other than the addressee. The recipient is requested to notify the sender immediately of any error in transmission and to destroy any transmission not intended for the recipient.

If all pages are not received or you otherwise experience transmission difficulties Please call us at (212) 687-2770





551 Fifth Avenue

New York, NY 10176

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tesdomack Offic Address COMMERSIONER FOR PATENTS Dec 140 Alexandra Viginia 37335-1430 www.taphagov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | A)"(Y.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/789,199 | 02/26/2004 | 2814 | 1116 | 5367-83 | 2 | 32 | 2 |

CONFIRMATION NO. 6966

UPDATED FILING RECEIPT

OC00000013787098

Date Mailed: 09/13/2004

COHEN, PONTANI, LIEBERMAN & PAVANE **Suite 1210**



Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

DONAUSTAUE

Wilhelm Stein Ljudau, GERMANY: Michael Fehrer, Bad Abbach, GERMANY: Johannes Baur, Laaber, GERMANY: Matthias Winter, Regensburg, GERMANY; Andreas Ploessi, Regensburg, GERMANY; Stephan Kaiser, Regensburg, GERMANY; Berthold Hahn, Hemau, GERMANY; Franz Eberhard, Regensburg, GERMANY:

Assignment For Published Patent Application

Osram Opto Semiconductors GmbH, Regensburg, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 27799.

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 10308325.1 02/26/2003 GERMANY 10350707.8 10/30/2003 CORES, POLITARI, LIERLALIAN & PAVAGE

If Required, Foreign Filing License Granted: 05/19/2004

THE ELECTION

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/789.199

(Kby00USTO

Projected Publication Date: 12/23/2004

Non-Publication Request: No

Early Publication Request: No



Title

Electrical contact for optoelectronic semiconductor chip and method for its production

Preliminary Class

257

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).